

MONITORING COMPLETENESS/PROGRESS OF CLIMATE DATA RECORD GENERATION

(ESTABLISHING COMMON PROCEDURES FOR CLIMATE OBSERVATION IN THE EU FP7 CORE-CLIMAX PROJECT)



User Perspective

I need good new data ... and quickly. A new data product could be very good, but if it is not being conveniently served and described, it is not good for me...
So I am going to use whatever I have and know already.

User



10/21/2011

Leptoukh QA4EO'11



Adapted from Folkert Boersma, KNMI

*Harmonised ECV retrievals & records –
QA4ECV Kick-off meeting, 6-7 February 2014, De Bilt*



QA4ECV Approach to E and QC



Users need clear info on validity of EO/climate data records

Climate Data Records available, but need info on **strength/weakness** and fitness for purpose

Need objective system

Need guidance



Quality Assurance System

- Provides traceable quality info on EO/climate data;
- Tied to international standards;
- QA processes and tools to support user community in tracing quality;



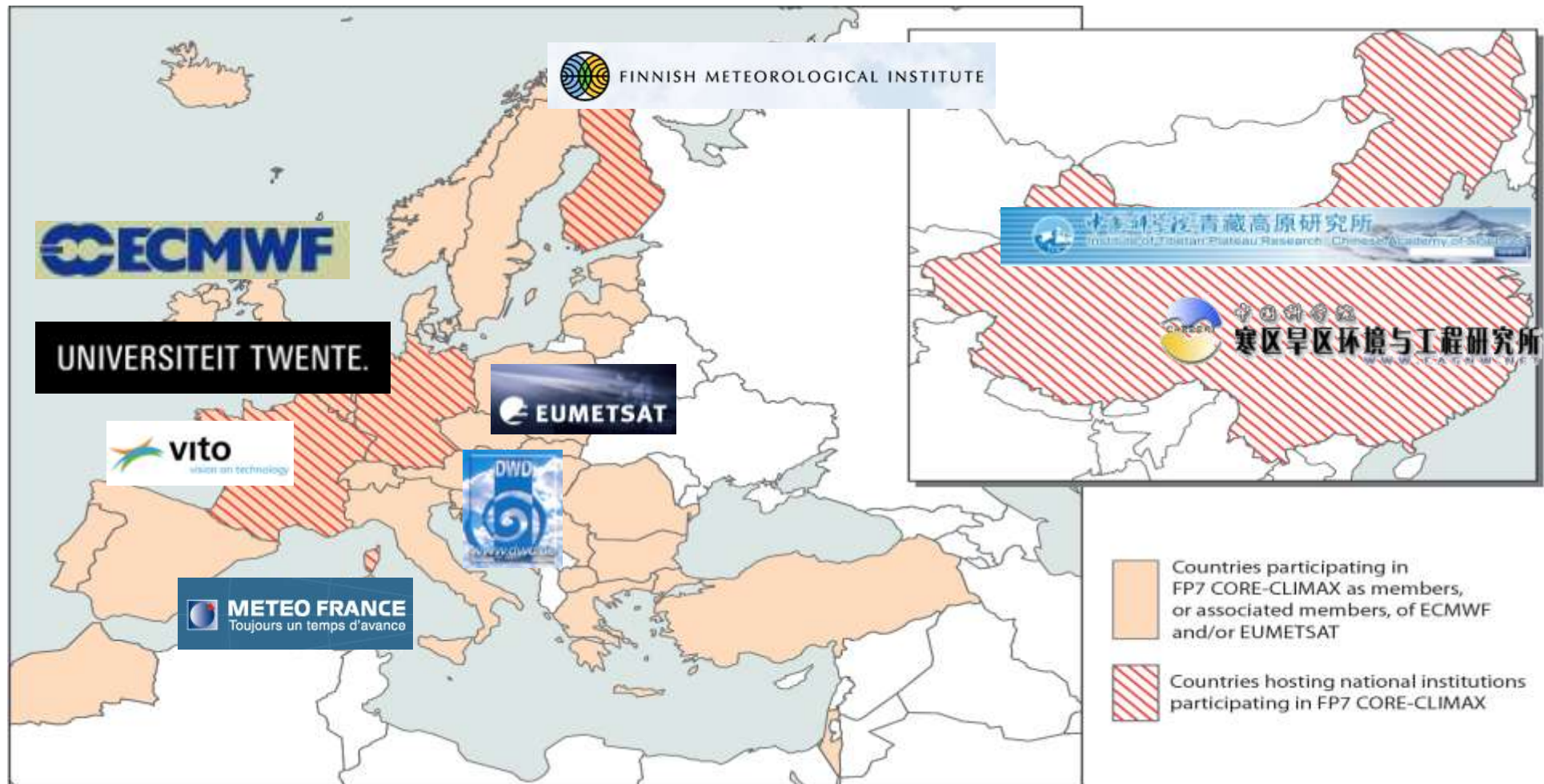
Quality assured multi-decadal Climate Data Records of GCOS ECVs (includes all inputs, such as FCDRs into it).

Maturity	SOFTWARE READINESS	METADATA	USER DOCUMENTATION	UNCERTAINTY CHARACTERISATION	Fit for purpose
1	Conceptual development	None	Limited scientific description of the methodology available from IR	None	None
2	Research goals only	Research goals	Comprehensive scientific description of the methodology, input and output variables, and limited product user guide available from IR, paper on methodology is available from IR	Standard uncertainty characterisation is described in detail, limited information from limited information on uncertainty available	Data are available
3	Research code only, partially applied, standards code contains basic and research, and a README file. IR offers possibility to access code, but no security problems	Standards defined or identified, sufficient to use and understand the data and correct discovery activities	None 1 - paper on methodology published; comprehensive scientific description available from IR, IR paper on methodology is available from IR, limited description of operation concept available from IR	None 3 - standard uncertainty applied, but not extended to full product data coverage; comprehensive information on uncertainty available, methods for assessment remaining unclear	Data are available from IR, IR
4	None 2 - IR offers software for data access, manual available, IR offers possibility to access code, but no security problems	None 2 - standards systematically applied, users understand standards for the data set, enhanced discovery methods, limited location level activities	None 2 - comprehensive scientific description available from IR, IR paper on methodology is available from IR, IR paper on methodology is available from IR, comprehensive description of operation concept available from IR	None 2 - product uncertainty available, but not extended to full product data coverage; comprehensive information on uncertainty available, methods for assessment remaining unclear	Data are available from IR, IR
5	None 4 - operational code following standards, active in software full compliance	None 4 - fully compliant with standards, complete discovery	None 4 - comprehensive scientific description available from IR, IR paper on methodology is available from IR, IR paper on methodology is available from IR, comprehensive description of operation concept available from IR	None 4 - IR uncertainty fully established, comprehensive information on uncertainty available, methods for assessment remaining unclear	None 4 - IR

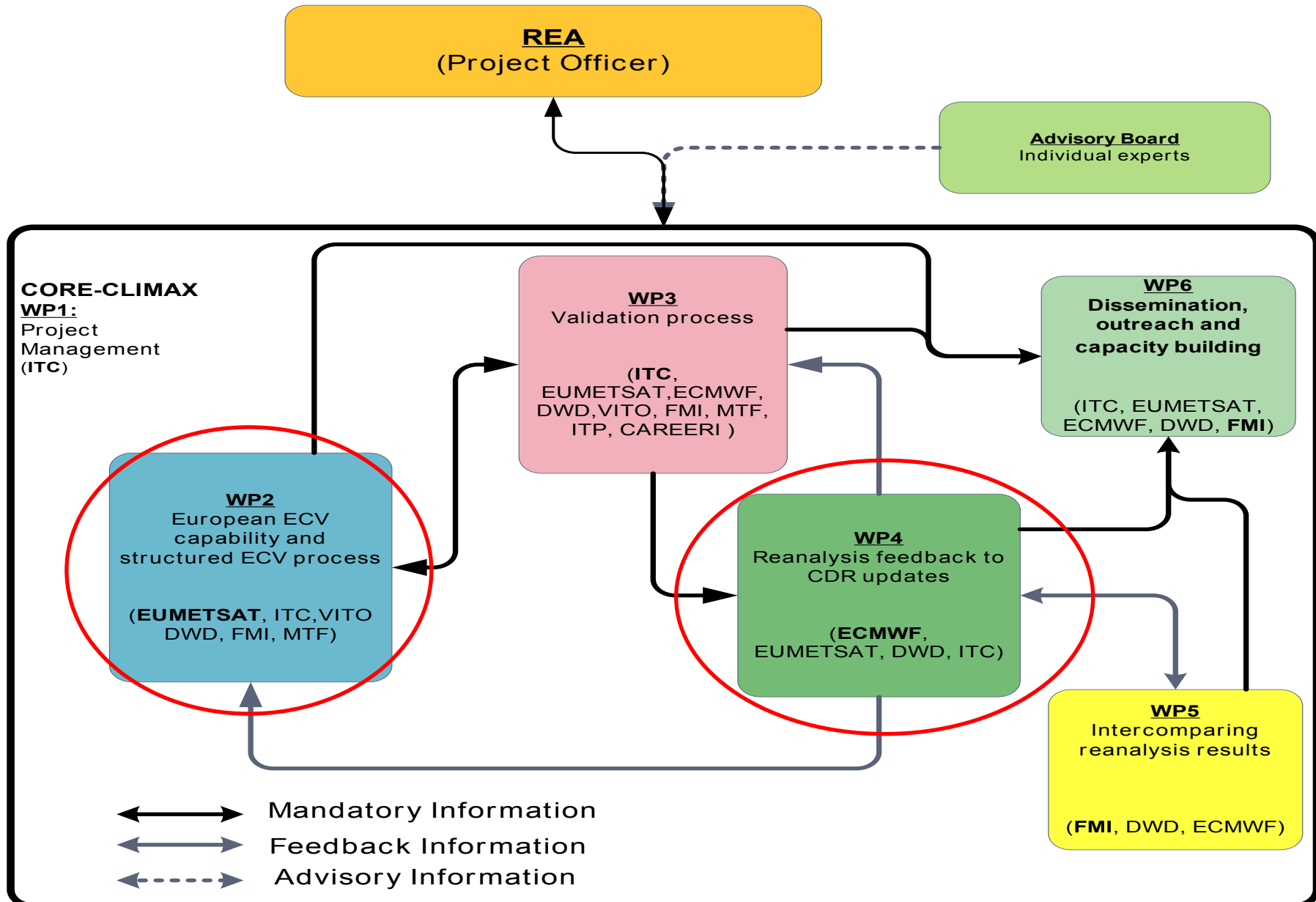
CORE-CLIMAX

Coordinating Earth observation data validation for RE-analysis for CLIMATE ServiceS

Bob Su on behalf of CORE-CLIMAX TEAM



CORE-CLIMAX work packages





- Assessment of European capacity producing Climate Data Records (CDR):
 - Provides consistent view on strengths and weaknesses of the process to generate, preserve and improve CDRs to each individual CDR producer, agencies and EC;
 - Provides information to the user community on:
 - Status of individual records;
 - Collective state of all records;
 - Provides this information for the first time across different observing and production systems (satellite, in situ and reanalysis);
 - Increases transparency and openness towards the user;
 - Potentially supports selection of CDRs for Copernicus Climate Change Service;
 - Supports Europe's contribution to the next Obs4Mips activity in the framework of the Climate Model Inter-comparison (CMIP-6) by providing consistent information on CDRs produced in Europe.
- Workshop held at EUMETSAT 21-23 January 2014 endorsed assessment concept and tools and performed self assessment of 30 CDRs (23 satellite, 6 in situ and one reanalysis);



The capacity is assessed using three support tools developed by the project:

■ Data Record Descriptions (DRD)

- Contain technical specifications and links to documented information on quality;
- Provides consistent and coherent information about CDRs produced in Europe (serves as input to CMIP-6 obs4mips activities).

■ System Maturity Matrix (SMM)

- Evaluates if the production of a CDR follows best practices for science and engineering and is assessing if data records are used and feedback mechanisms are implemented;
- The SMM can be used in self assessment mode or in an audit type assessment.

■ Application Performance Metric (APM)

- Evaluates the performance of a CDR with respect to a specific application;
- Might be implemented as an interactive App that convolves user requirements with product specification information in a database.



- Workshop held at EUMETSAT 21-23 January 2014;
- Performed self assessment of 30 CDRs (23 satellite, 6 in situ and one reanalysis) prior to the workshop;
- Develop common understanding on the developed System Maturity Matrix (SMM);
- Recommend to CORE-CLIMAX needed improvements to the SMM and instruction manual;
- Discuss results of self assessment;
- Discuss and agree on way forward for external/independent assessment;
- Discuss value and potential of the Application Performance Matrix concept and its implementation.

Data Set Description

Each CDR provider is asked to provide a Data Set Description

1. INTENT OF THE DOCUMENT
Brief description of CDR presented
2. POINT OF CONTACT
Information on CDR provider
3. DATA FIELD DESCRIPTION
Information on the technical product specifications (format, fields etc)
4. DATA ORIGIN
Description of the input data used (stations, satellites, etc)
5. VALIDATION AND UNCERTAINTY ESTIMATE
Description of the validation procedure adopted
6. CONSIDERATIONS FOR CLIMATE APPLICATIONS
Description of limitations to be considered
7. INSTRUMENTS OVERVIEW
Detailed description of the measurement system
8. REFERENCES

Maturity Matrix Concept



Is the software robust and maintainable?

Are the data and methods well documented?

Has the trueness of the data been systematically assessed?

Are data well used and user feedbacks taken care of?

Software readiness	Metadata	User documentation	Uncertainty Characterisation	Public Access, Feedback and Update	Usage
Are the codes compliant with standards, stable, portable and reproducible?	Do the metadata meet international standards, and allow provenance tracking?	Are the formal documents and peer-reviewed papers up-to-date and public?	Are the uncertainties assessed systematically in a standard manner?	Are the data, source code, and documents publicly available and regularly updated?	Are the data widely used in the scientific, and decision and policy making communities?

What the CORE-CLIMAX Project did for the SMM

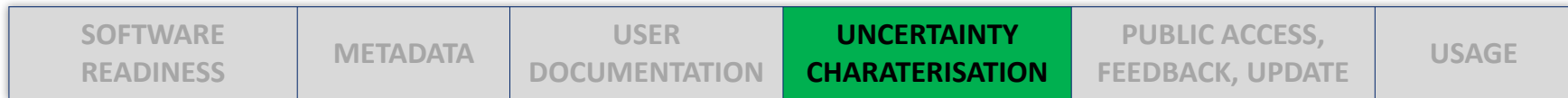
- Made it applicable for in situ data records and other data sources such as reanalysis (we took out a lot of satellite specific language);
- Made it more easy applicable for agencies worldwide (we took out agency specific language);
- Concentrated it on the question of completeness in a sense of following best practices in science and engineering that developed over several decades;
- Tried to make the Maturity Matrix independent of individual applications;
- Accommodated many comments made by the CEOS Working Group Climate, the ESA CCI and the EUMETSAT SAFs in recent discussions of the maturity approach.

Core-Climax: System Maturity Matrix



Maturity	SOFTWARE READINESS	METADATA	USER DOCUMENTATION	UNCERTAINTY CHARACTERISATION	PUBLIC ACCESS, FEEDBACK, UPDATE	USAGE
1	Conceptual development	None	Limited scientific description of the methodology available from PI	None	Restricted availability from PI	None
2	Research grade code	Research grade	Comprehensive scientific description of the methodology, report on limited validation, and limited product user guide available from PI; paper on methodology is submitted for peer-review	Standard uncertainty nomenclature is identified or defined; limited validation done; limited information on uncertainty available	Data available from PI, feedback through scientific exchange, irregular updates by PI	Research: Benefits for applications identified DSS: Potential benefits identified
3	Research code with partially applied standards; code contains header and comments, and a README file; PI affirms portability, numerical reproducibility and no security problems	Standards defined or identified; sufficient to use and understand the data and extract discovery metadata	Score 2 + paper on methodology published; comprehensive validation report available from PI and a paper on validation is submitted; comprehensive user guide is available from PI; Limited description of operations concept available from PI	Score 2 + standard nomenclature applied; validation extended to full product data coverage, comprehensive information on uncertainty available; methods for automated monitoring defined	Data and documentation publically available from PI, feedback through scientific exchange, irregular updates by PI	Research: Benefits for applications demonstrated. DSS: Use occurring and benefits emerging
4	Score 3 + draft software installation/user manual available; 3rd party affirms portability and numerical reproducibility; passes data providers security review	Score 3 + standards systematically applied; meets international standards for the data set; enhanced discovery metadata; limited location level metadata	Score 3 + comprehensive scientific description available from data provider; report on inter comparison available from PI; paper on validation published; user guide available from data provider; comprehensive description of operations concept available from PI	Score 3 + procedures to establish SI traceability are defined; (inter)comparison against corresponding CDRs (other methods, models, etc); quantitative estimates of uncertainty provided within the product characterising more or less uncertain data points; automated monitoring partially implemented	Data record and documentation available from data provider and under data provider's version control; Data provider establishes feedback mechanism; regular updates by PI	Score 3 + Research: Citations on product usage in occurring DSS: societal and economical benefits discussed
5	Score 4 + operational code following standards, actions to achieve full compliance are defined; software installation/user manual complete; 3rd party installs the code operationally	Score 4 + fully compliant with standards; complete discovery metadata; complete location level metadata	Score 4 + comprehensive scientific description maintained by data provider; report on data assessment results exists; user guide is regularly updated with updates on product and validation; description on practical implementation is available from data provider	Score 4 + SI traceability partly established; data provider participated in one inter-national data assessment; comprehensive validation of the quantitative uncertainty estimates; automated quality monitoring fully implemented (all production levels)	Score 4 + source code archived by Data Provider; feedback mechanism and international data quality assessment are considered in periodic data record updates by Data Provider	Score 4 + Research: product becomes reference for certain applications DSS: Societal and economic benefits are demonstrated
6	Score 5 + fully compliant with standards; Turnkey System	Score 5 + regularly updated	Score 5 + journal papers on product updates are and more comprehensive validation and validation of quantitative uncertainty estimates are published; operations concept regularly updated	Score 5 + SI traceability established; data provider participated in multiple international data assessment and incorporating feedbacks into the product development cycle; temporal and spatial error covariance quantified; Automated monitoring in place with results fed back to other accessible information, e.g. meta data or documentation	Score 5 + source code available to the public and capability for continuous data provisions established (ICDR)	Score 5 + Research: Product and its applications becomes references in multiple research field DSS: Influence on decision and policy making demonstrated

Sub-Matrix - Uncertainty



	Standards	Validation	Uncertainty quantification	Automated Quality Monitoring
1	None	None	None	None
2	Standard uncertainty nomenclature is identified or defined	Validation using external reference data done for limited locations and times	Limited information on uncertainty arising from systematic and random effects in the measurement	None
3	Score 2 + Standard uncertainty nomenclature is applied	Validation using external reference data done for global and temporal representative locations and times	Comprehensive information on uncertainty arising from systematic and random effects in the measurement	Methods for automated quality monitoring defined
4	Score 3 + Procedures to establish SI traceability are defined	Score 3 + (Inter)comparison against corresponding CDRs (other methods, models, etc)	Score 3 + quantitative estimates of uncertainty provided within the product characterising more or less uncertain data points	Score 3 + automated monitoring partially implemented
5	Score 4 + SI traceability partly established	Score 4 + data provider participated in one international data assessment	Score 4 + temporal and spatial error covariance quantified	Score 3 + monitoring fully implemented (all production levels)
6	Score 5 + SI traceability established	Score 4 + data provider participated in multiple international data assessment and incorporating feedbacks into the product development cycle	Score 5 + comprehensive validation of the quantitative uncertainty estimates and error covariance	Score 5 + automated monitoring in place with results fed back to other accessible information, e.g. meta data or documentation

Maturity Matrix (OPE-FCDR)

MATURITY	SOFTWARE READINESS	METADATA	USER DOCUMENTATION	UNCERTAINTY CHARACTERISATION	PUBLIC ACCESS, FEEDBACK, UPDATE	USAGE
1	Green	Green	Green	Green	Green	Green
2	Green	Green	Green	Green	Green	Green
3	Green	Green	Blue	Blue	Blue	Blue
4	Green	Green	Blue	Blue	Blue	Blue
5	Green	Blue	Blue	Blue	Blue	Blue
6	Blue	Blue	Blue	Blue	Blue	Blue

FCDR AVHRR rad.

Maturity	Senior Use	Algorithm stability	Metadata & QA	Documentation	Validation	Public Release	Science & Application
1	Research: Science only, limited period of record	Unproven: Unproven	Incomplete	Full Operational Algorithm Description (FOAD)	Unproven	Unproven: Not available to science community	Unproven: Not available
2	Research: Science only, limited period of record	Unproven: Unproven	Research: Good: Research: Good	FOAD/Unproven	Unproven: Unproven	Unproven: Not available to science community	Unproven: Not available
3	Operational: Science only, full period of record	Unproven: Unproven	Unproven: Good: Research: Good	Unproven: Unproven	Unproven: Unproven	Unproven: Not available to science community	Unproven: Not available
4	All science needs & not operational needs: Unproven: Unproven	Unproven: Unproven	Unproven: Good: Research: Good	Unproven: Unproven	Unproven: Unproven	Unproven: Not available to science community	Unproven: Not available
5	All science needs & not operational needs: Unproven: Unproven	Unproven: Unproven	Unproven: Good: Research: Good	Unproven: Unproven	Unproven: Unproven	Unproven: Not available to science community	Unproven: Not available
6	All science needs & not operational needs: Unproven: Unproven	Unproven: Unproven	Unproven: Good: Research: Good	Unproven: Unproven	Unproven: Unproven	Unproven: Not available to science community	Unproven: Not available

FCDR HIRS clear sky rad.

Maturity	Senior Use	Algorithm stability	Metadata & QA	Documentation	Validation	Public Release	Science & Application
1	Research: Science only, limited period of record	Unproven: Unproven	Incomplete	Full Operational Algorithm Description (FOAD)	Unproven	Unproven: Not available to science community	Unproven: Not available
2	Research: Science only, limited period of record	Unproven: Unproven	Research: Good: Research: Good	FOAD/Unproven	Unproven: Unproven	Unproven: Not available to science community	Unproven: Not available
3	Operational: Science only, full period of record	Unproven: Unproven	Unproven: Good: Research: Good	Unproven: Unproven	Unproven: Unproven	Unproven: Not available to science community	Unproven: Not available
4	All science needs & not operational needs: Unproven: Unproven	Unproven: Unproven	Unproven: Good: Research: Good	Unproven: Unproven	Unproven: Unproven	Unproven: Not available to science community	Unproven: Not available
5	All science needs & not operational needs: Unproven: Unproven	Unproven: Unproven	Unproven: Good: Research: Good	Unproven: Unproven	Unproven: Unproven	Unproven: Not available to science community	Unproven: Not available
6	All science needs & not operational needs: Unproven: Unproven	Unproven: Unproven	Unproven: Good: Research: Good	Unproven: Unproven	Unproven: Unproven	Unproven: Not available to science community	Unproven: Not available

Maturing Takes Time

The SMMs need to be updated regularly

MATURITY	SOFTWARE READINESS	METADATA	USER DOCUMENTATION	UNCERTAINTY CHARACTERISATION	PUBLIC ACCESS, FEEDBACK, UPDATE	USAGE
1						
2						
3						
4						
5						
6						



TCDR ESA-CCI SST (~5 years)

ESA SST CCI AVHRR L2P long-term product version 1.0

CORE CLMAX System Maturity Matrix

Maturity	SOFTWARE READINESS	METADATA	UNCERTAINTY CHARACTERISATION	OPERATIONAL CHARACTERISATION	PUBLIC ACCESS, FEEDBACK, UPDATE	USAGE
1	Concept definition	None	Uncertainty characterisation not performed	None	None available	None
2	Requirements	None	Uncertainty characterisation not performed	None	None available	None
3	Requirements	None	Uncertainty characterisation not performed	None	None available	None
4	Requirements	None	Uncertainty characterisation not performed	None	None available	None
5	Requirements	None	Uncertainty characterisation not performed	None	None available	None
6	Requirements	None	Uncertainty characterisation not performed	None	None available	None

TCDR CM-SAF Clouds (~12 years)

CLARA-AI Cloud Properties

CORE CLMAX System Maturity Matrix

Maturity	SOFTWARE READINESS	METADATA	UNCERTAINTY CHARACTERISATION	OPERATIONAL CHARACTERISATION	PUBLIC ACCESS, FEEDBACK, UPDATE	USAGE
1	Concept definition	None	Uncertainty characterisation not performed	None	None available	None
2	Requirements	None	Uncertainty characterisation not performed	None	None available	None
3	Requirements	None	Uncertainty characterisation not performed	None	None available	None
4	Requirements	None	Uncertainty characterisation not performed	None	None available	None
5	Requirements	None	Uncertainty characterisation not performed	None	None available	None
6	Requirements	None	Uncertainty characterisation not performed	None	None available	None

Is the Core-Climax SMM concept generally applicable? (In-situ, Satellite, and Reanalysis CDRs)

Baseline Surface Radiation Network (BSRN)

Baseline Surface Radiation Network CORE-CLIMAX System Maturity Matrix						
Maturity	SOFTWARE READINESS	METHODS	USER DOCUMENTATION	UNCERTAINTY CHARACTERIZATION	RISK: ACCESS, FEEDBACK, OBSERV.	TRACE
3	Concept development	None	Initial scientific description of the underlying methods from IT	None	Finalized architecture IT	None
2	Research paper-out	Research-plan	Comprehensive scientific description of the underlying, report on latest validation and latest product user guide available from IT, paper on methodology is available to peer review	Finalized uncertainty characterization available in relevant publications, report on latest validation and latest product user guide available from IT, paper on methodology is available to peer review	Finalized architecture IT, feedback through external change management system IT	Finalized scientific description available from IT, final validation and commissioning available from IT, final user guide available from IT, final paper on methodology is available to peer review
1	Research fully independently applied standards, with routine checks and reviews, with EUMETSAT, the IT offers possibility, constant opportunity and no security problems	Finalized validation as described, software is not implemented for the actual observations network	Finalized scientific description published, comprehensive validation report available from IT and paper on validation is released, comprehensive user guide is available from IT, final validation and commissioning report available from IT	Finalized uncertainty characterization applied, validation available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on validation is released, comprehensive user guide is available from IT, final validation and commissioning report available from IT	Finalized architecture available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review	Finalized scientific description available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review
4	Final IT - fully independent validation as defined in the project charter, with all necessary approvals and sign-off	Finalized validation as described, software is not implemented for the actual observations network	Finalized scientific description published, comprehensive validation report available from IT and paper on validation is released, comprehensive user guide is available from IT, final validation and commissioning report available from IT	Finalized uncertainty characterization applied, validation available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on validation is released, comprehensive user guide is available from IT, final validation and commissioning report available from IT	Finalized architecture available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review	Finalized scientific description available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review
5	Final IT - operational with following standards, access to archive full capabilities as defined, software maintenance and updates, final paper on methodology is available to peer review	Finalized validation as described, software is not implemented for the actual observations network	Finalized scientific description published, comprehensive validation report available from IT and paper on validation is released, comprehensive user guide is available from IT, final validation and commissioning report available from IT	Finalized uncertainty characterization applied, validation available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on validation is released, comprehensive user guide is available from IT, final validation and commissioning report available from IT	Finalized architecture available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review	Finalized scientific description available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review
6	Final IT - fully independent with standards, routine checks	Finalized validation as described, software is not implemented for the actual observations network	Finalized scientific description published, comprehensive validation report available from IT and paper on validation is released, comprehensive user guide is available from IT, final validation and commissioning report available from IT	Finalized uncertainty characterization applied, validation available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on validation is released, comprehensive user guide is available from IT, final validation and commissioning report available from IT	Finalized architecture available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review	Finalized scientific description available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review

NKDZ Precipitation time series

NKDZ Precipitation time series (daily station data) CORE-CLIMAX System Maturity Matrix						
Maturity	SOFTWARE READINESS	METHODS	USER DOCUMENTATION	UNCERTAINTY CHARACTERIZATION	RISK: ACCESS, FEEDBACK, OBSERV.	TRACE
3	Concept development	None	Initial scientific description of the underlying methods from IT	None	Finalized architecture from IT	None
2	Research paper-out	Research-plan	Comprehensive scientific description of the underlying, report on latest validation and latest product user guide available from IT, paper on methodology is available to peer review	Finalized uncertainty characterization available in relevant publications, report on latest validation and latest product user guide available from IT, paper on methodology is available to peer review	Finalized architecture from IT, feedback through external change management system from IT	Finalized scientific description available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review
1	Research fully independently applied standards, with routine checks and reviews, with EUMETSAT, the IT offers possibility, constant opportunity and no security problems	Finalized validation as described, software is not implemented for the actual observations network	Finalized scientific description published, comprehensive validation report available from IT and paper on validation is released, comprehensive user guide is available from IT, final validation and commissioning report available from IT	Finalized uncertainty characterization applied, validation available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on validation is released, comprehensive user guide is available from IT, final validation and commissioning report available from IT	Finalized architecture from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review	Finalized scientific description available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review
4	Final IT - fully independent validation as defined in the project charter, with all necessary approvals and sign-off	Finalized validation as described, software is not implemented for the actual observations network	Finalized scientific description published, comprehensive validation report available from IT and paper on validation is released, comprehensive user guide is available from IT, final validation and commissioning report available from IT	Finalized uncertainty characterization applied, validation available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on validation is released, comprehensive user guide is available from IT, final validation and commissioning report available from IT	Finalized architecture from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review	Finalized scientific description available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review
5	Final IT - operational with following standards, access to archive full capabilities as defined, software maintenance and updates, final paper on methodology is available to peer review	Finalized validation as described, software is not implemented for the actual observations network	Finalized scientific description published, comprehensive validation report available from IT and paper on validation is released, comprehensive user guide is available from IT, final validation and commissioning report available from IT	Finalized uncertainty characterization applied, validation available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on validation is released, comprehensive user guide is available from IT, final validation and commissioning report available from IT	Finalized architecture from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review	Finalized scientific description available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review
6	Final IT - fully independent with standards, routine checks	Finalized validation as described, software is not implemented for the actual observations network	Finalized scientific description published, comprehensive validation report available from IT and paper on validation is released, comprehensive user guide is available from IT, final validation and commissioning report available from IT	Finalized uncertainty characterization applied, validation available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on validation is released, comprehensive user guide is available from IT, final validation and commissioning report available from IT	Finalized architecture from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review	Finalized scientific description available from IT, final validation and commissioning report available from IT, final user guide available from IT, final paper on methodology is available to peer review

Providers of SMMs for In-Situ CDRs initially indicated that the **Software Readiness** and **User Documentation** categories are not applicable to their data.

Support User's to Select Data



- User requirements collection exercises show a large variability in the stated requirements of users with nominally similar applications;
- But a core set of typical questions may always be isolated:



Coverage	Sampling	Uncertainty	Stability
Are the record length and spatial coverage meeting the application's requirements?	Do the spatial and temporal sampling meet the applications requirements?	Do the random and systematic uncertainties meet the requirements?	Do the temporal and spatial stability meet the requirements?



- Events and activities after the CORE-CLIMAX workshop:
 - The workshop recommended to EUMETSAT to develop a prototype for the Application Performance Metric for a subset of TCDRs;
 - Project will continue to collect CDR self assessments from satellite and in situ data record providers until June 2014;
 - Project will evaluate the assessment results and report to the EC by December 2014;
 - ESA CCI endorsed the CORE-CLIMAX concept, may stop own development and will provide self assessments of all CCI data records (50% of the projects delivered at WS);
 - Concept was presented by us at ECMWF Copernicus Climate Change Workshop, 17-18 February 2014, recommended to be further developed during Stage 0 of CCCS and used for the assessment of system performance in the CCCS in the EQC pillar.

Additional slides describing the Maturity Matrix and its subcategories

Sub Matrix – Software Readiness



SOFTWARE READINESS	METADATA	USER DOCUMENTATION	UNCERTAINTY CHARACTERISATION	PUBLIC ACCESS, FEEDBACK, UPDATE	USAGE
Coding standards	Software Documentation	Numerical Reproducibility and Portability	Security		
1 No coding standard or guidance identified or defined	No documentation	Not evaluated	Not evaluated		
2 Coding standard or guidance is identified or defined, but not applied	Minimal documentation	PI affirms reproducibility under identical conditions	PI affirms no security problems		
3 Score 2 + standards are partially applied and some compliance results are available	Header and process description (comments) in the code, README complete	PI affirms reproducibility and portability	Submitted for data provider's security review		
4 Score 3 + compliance is systematically checked in all code, but not yet compliant to the standards.	Score 3 + a draft Software Installation/User Manual	3rd party affirms reproducibility and portability	Passes data provider's security review		
5 Score 4 + standards are systematically applied in all code and compliance is systematically checked in all code. Code is not fully compliant to the standards. Improvement actions to achieve full compliance are defined.	Score 4 + enhanced process descriptions throughout the code; software installation/user manual complete	Score 4 + 3rd party can install the code operationally	Continues to pass the data provider's review		
6 Score 5 + code is fully compliant with standards.	As in score 5	Score 5 + Turnkey system	As in score 5		

Sub Matrix – Meta Data



SOFTWARE READINESS	METADATA	USER DOCUMENTATION	UNCERTAINTY CHARACTERISATION	PUBLIC ACCESS, FEEDBACK, UPDATE	USAGE
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	Standards	Collection level	File level
1	No standard considered	None	None
2	No standard considered	Limited	Limited
3	Metadata standards identified and/or defined but not systematically applied	Sufficient to use and understand the data independent of external assistance; Sufficient for data provider to extract discovery metadata from meta data repositories	Sufficient to use and understand the data independent of external assistance
4	Score 3 + standards systematically applied at file level and collection level by data provider. Meets international standards for the dataset	Score 3 + Enhanced discovery metadata	Score 3 + Limited location (pixel, station, grid-point, etc.) level metadata
5	Score 4 + meta data standard compliance systematically checked by the data provider	Score 4 + Complete discovery metadata meets international standards	Score 4 + Complete location (pixel, station, grid-point, etc.) level metadata
6	Score 5	Score 5 + Regularly updated	Score 5

Sub Matrix – User Documentation



SOFTWARE READINESS	METADATA	USER DOCUMENTATION	UNCERTAINTY CHARACTERISATION	PUBLIC ACCESS, FEEDBACK, UPDATE	USAGE
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	Formal description of scientific methodology	Formal Validation Report	Formal Product User Guide	Formal description of operations concept
1	Limited scientific description of methodology available from PI	None	None	None
2	Comprehensive scientific description available from PI and Journal paper on methodology submitted	Report on limited validation available from PI	Limited product user guide available from PI	None
3	Score 2 + Journal paper on methodology published	Report on comprehensive validation available from PI; Paper on product validation submitted	Comprehensive User Guide available from PI	Limited description of operations concept available
4	Score 3 + Comprehensive scientific description available from Data Provider	Report on inter-comparison to other CDRs, etc. Available from PI and data Provider; Journal paper on product validation published	Score 3 + available from data provider	Comprehensive description of operations concept available
5	Score 4 + Comprehensive scientific description maintained by data provider	Score 4 + Report on data assessment results exists	Score 4 + regularly updated by data provider with product updates and/or new validation results	Operations concept and description of practical implementation available
6	Score 5 + Journal papers on product updates published	Score 5+ Journal papers more comprehensive validation, e.g., error covariance, validation of qualitative uncertainty estimates published	Score 5	Score 5 + Operations concept regularly updated

Sub Matrix – Public Access, Feedback and Update



	Public Access/Archive	Version	User Feedback Mechanism	Updates to Record
1	Data may be available through request to PI	None	None	None
2	Data available through PI	Preliminary versioning by PI	PI collects and evaluates feedback from scientific community	Irregularly by PI following scientific exchange and progress
3	Data and documentation archived and available to the public from PI	Versioning by PI	PI and Data provider collect and evaluate feedback and from scientific community	Irregularly by PI following scientific exchange and progress
4	Data and documentation archived and available to the public from Data Provider	Version control institutionalised	Data provider establishes feedback mechanism such as regular workshops, advisory groups, user help desk, etc. and utilises feedback jointly with PI	Regularly by PI utilising input from established feedback mechanism
5	Score 4 + source code archived by Data Provider	Fully established version control considering all aspects	Established feedback mechanism and international data quality assessment results are considered in periodic data record updates	Regularly operationally by data provider as dictated by availability of new input data or new methodology following user feedback
6	Score 5 + source code available to the public from Data Provider	Not used	Score 5 + Established feedback mechanism and international data quality assessment results are considered in continuous data provisions (Interim Climate Data Records)	Score 5 + capability for fast improvements in continuous data provisions established (Interim Climate Data Records)

Sub Matrix - Usage



SOFTWARE READINESS	METADATA	USER DOCUMENTATION	UNCERTAINTY CHARACTERISATION	PUBLIC ACCESS, FEEDBACK, UPDATE	USAGE
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	Research	Decision Support System
1	None	None
2	Benefits for research applications identified	Potential benefits identified
3	Benefits for research applications demonstrated by publication	Use occurring and benefits emerging
4	Score 3 + Citations on product usage occurring	Score 3 + societal and economical benefits discussed
5	Score 4 + product becomes reference for certain applications	Score 4 + societal and economical benefits demonstrated
6	Score 5 + Product and its applications becomes references in multiple research field	Score 5 + influence on decision (including policy) making demonstrated